

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number	10655346
Filing Date	2003-09-04
First Named Inventor	Bala Dutt
Art Unit	2171
Examiner Name	Unknown
Attorney Docket Number	5681-14900

U.S. PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
AC	1	6496828		2002-12-27	Cochrane, et al.	
	2	6579679		2003-02-11	Devireddy, et al.	
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	5	5335343		1994-08-02	Lampson, et al.	
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	7	7134008		2006-11-07	Dutt, et al.	
	8	7165061		2007-01-16	K, et al.	

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A-C.	9	7082432		2006-07-25	Bhogi, et al.	
	10	6421661		2002-07-16	Doan, et al.	
	11	4502116		1985-02-26	Fowler, et al.	
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	17	7065563		2006-06-20	Islam, et al.	
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	21					

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U.S.PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
A.C.	1	20030033398		2003-02-13	Carlson, et al.	
	2	20050055324			Dutt,et al.	
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	5	20030036919		2003-02-20	Felt, et al.	
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FOREIGN PATENT DOCUMENTS

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Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
A.C.	1	Manolis Marazakis, "Distributed Systems", University of Crepe; Sept. 2002, pp.1-31.	<input type="checkbox"/>

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¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

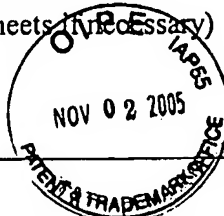
Form PTO-1449 (modified)

List of Patents and Publications

For Applicant's Information

Disclosure Statement

(Use several sheets if necessary)



ATTY. DOCKET NO: 5681-14900

SERIAL NO: 10/655,346

APPLICANT: Dutt et al.

FILING DATE: September 4, 2003

GROUP: 2171

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
A.C.		6,246,737	6/01	Flanagan, et al.			
↓		5,630,081	5/97	Rybicki, et al.			
		2002/0124083	9/02	Jeyaraman, et al.			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

A.C.	B1	Oracle9i JDBC Developer's Guide and Reference (March 2002, retrieved from http://www.stanford.edu/dept/itss/docs/oracle/9i/java.920/a96654/toc.htm on 9/6/05).
↓	B2	Kannegaard (J2EE BluePrints, March 20, 2000 retrieved from http://java.sun.com/blueprints/guidelines/designing_enterprise_applications/apmTOC.html on 9/6/05).

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Information Disclosure Statement--PTO 1449 (modified)

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FILING DATE: September 4, 2003

GROUP: 2171

U.S. PATENT DOCUMENTS

EXAM. INITIAL S	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
A.C.	A31	6,873,995	3/29/05	Benson			
↓	A32	6,862,573	3/1/05	Kendall et al.			
↓	A33	6,854,646	2/15/05	Ieshima et al.			
↓	A34	6,832,238	12/14/04	Sharma et al.			

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A.C.	A35	BEA WebLogic Enterprise 5.1," BEA Systems, Inc., 2000, (13 Pages)
↓	A36	"Simplified Guide to the Java TM 2 Platform Enterprise Edition," Sun Microsystems, Inc., 1999, (13 Pages)
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EXAMINER: *Ce Ce*DATE CONSIDERED: *12/19/07*

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			APPLICANT: Dutt et al.				
			FILING DATE: September 4, 2003		GROUP: 2171		
U.S. PATENT DOCUMENTS							
EXAM. INITIAL S	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
A.C.	A16	2005/0066155	3/24/05	Dutt et al.			/
	A17	2005/0015425	1/20/05	Kumar et al.			
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	A26	"JNDI - Java™ Naming & Directory Interface™", Sun Microsystems, Inc., http://java.sun.com/jndi , May 15, 2003, (2 Pages)					
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	A30	Efficient and Flexible Methods for Transient Versioning of Records to Avoid Locking by Read-Only Transactions," 1992 ACM SIGMOD, June 1992, (Pages 124-133)					
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EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
A.C.	A1	6,421,688	7/16/02	Song			
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	A3	5,504,900	4/2/96	Raz			
	A4	5,701,480	12/23/97	Raz			
	A5	6,442,618	8/27/02	Phillips et al.			
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	A10	"Java™ 2SDK, Enterprise Edition 1.3.1 Configuration Guide," http://java.sun.com/j2ee/sdk_1.3/techdocs/release/ConfigGuide.html , January 3, 2002, (16 Pages)					
	A11	Ellis et al., "JDBC™ 3.0 Specification – Final Release," Sun Microsystems, Inc., October 2001, (190 pages)					
	A12	"iPlanet Application Server 6.0 Administration Guide: Chapter 4 Logging Server Messages," http://docs.sun.com/source/816-5720-10/adlog.htm , September 5, 2000, (21 Pages)					
	A13	"JDBC™ Data Access API – The JDBC API Universal Data Access for the Enterprise," java.sun.com , August 10, 2003, (5 Pages)					
	A14	"Connection Pooling," Advanced Programming for the Java 2 Platform, August 16, 2002, (4 Pages)					
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